

# Crossing Reality: Comparing Physical and Virtual Robot Deixis

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## ABSTRACT

Augmented Reality (AR) technologies present an exciting new medium for human-robot interactions, enabling new opportunities for both implicit and explicit human-robot communication. For example, these technologies enable physically-limited robots to execute non-verbal interaction patterns such as deictic gestures despite lacking the physical morphology necessary to do so. However, a wealth of HRI research has demonstrated real benefits to physical embodiment (compared to, e.g., virtual robots on screens), suggesting AR augmentation of virtual robot parts could face challenges.

In this work, we present empirical evidence comparing the use of virtual (AR) and physical arms to perform deictic gestures that identify virtual or physical referents. Our subjective and objective results demonstrate the success of mixed reality deictic gestures in overcoming these potential limitations, and their successful use regardless of differences in physicality between gesture and referent. These results help to motivate the further deployment of mixed reality robotic systems and provide nuanced insight into the role of mixed-reality technologies in HRI contexts.

## CCS CONCEPTS

• **Computer systems organization** → Robotics; External interfaces for robotics; • **Human-centered computing** → Mixed / augmented reality; Empirical studies in interaction design.

## KEYWORDS

Augmented reality (AR), deictic gesture, non-verbal communication, physical embodiment, presence, anthropomorphism, human-robot interaction (HRI)

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## 1 INTRODUCTION

In order to promote natural, human-like, and effective human-robot interactions, robots must be able to effectively communicate with

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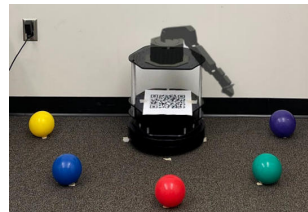
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(a) Physical Robot with a *physical* arm pointing to a *physical* referent (P→P)



(b) Physical Robot with a *physical* arm pointing to a *virtual* (AR) referent (P→V)



(c) Physical Robot with a *virtual* (AR) arm pointing to a *physical* referent (V→P)



(d) Physical Robot with a *virtual* (AR) arm pointing to a *virtual* (AR) referent (V→V)

Figure 1: We investigate referring behavior at the intersection of physical and AR worlds (physical/virtual (AR) arm × physical/virtual (AR) referent).

people. Critically, this requires going beyond verbal communication alone. Due to robots' unique physical embodiment [88], human-robot interaction (HRI) researchers have investigated non-verbal behaviors [14], such as implicit arm movement (e.g., [23, 47]), gestures [77], and eye gaze [1, 59]. Multimodal approaches pairing these nonverbal displays with verbal communication have also been well-studied (e.g., [9, 37, 93]). These non-verbal behaviors, especially deictic gestures like pointing and presenting [77], are particularly important as they increase task efficiency [59] and improve subjective perceptions of robots [23].

Unfortunately, most robot systems (such as mobile or telepresence robots, autonomous vehicles, and free-flying drones) do not have the physical morphology to express many of these nonverbal cues, lacking heads and eyes for gazing, or arms for gesturing. Moreover, the high degree-of-freedom requirements and complex mechanics of these morphological components, especially physical arms, present cost barriers, especially when such components would only be used for gesturing and not for manipulation. Additionally, the inclusion of physical components like arms presents well-known safety concerns [31].

To address these challenges, researchers have investigated *virtual* analogues to these traditional non-verbal cues. For nonverbal facial cues, this has taken a variety of forms. The Furhat robot head [2], for example, uses projection mapping to display a humanlike face without the need for precisely controlled animatronic facial parts. Similarly, many approaches use tablets to display robot faces (e.g., [28, 36]). Recently, AR technology has also been employed to visualize robot facial cues, allowing users or designers to customize expressions and easily change between facial expressions [101].

AR has also been recently used to provide a lower-cost solution for gestural capabilities. For example, Groechel et al. [31] studied the use of an AR arm on a mobile robot, and Hamilton et al. [32] and Brown et al. [11] compared AR arms to other types of AR annotations (e.g., arrows [93]). Results showed that arms were subjectively more well received. Yet the performance differences between virtual and physical arms have not yet been explored. As such, while the monetary cost differences between these options can be readily compared, the performance differences between these platforms are not yet well understood.

Moreover, it is unclear whether differences between virtual and physical arms might depend on the virtuality or physicality of the task-relevant objects to which a robot might choose to gesture. For example, virtual arms could be more effective (and viewed more positively) in tasks involving virtual referents, and vice versa, as a mismatch in physicality or virtuality of arm and referent could lead to confusion and delay. This would create a complex challenge given that mixed-reality task environments will necessarily contain a mixture of virtual and physical content, and the adoption of virtual appendages could be hindered by such concerns.

In this paper, we conducted a human-subjects study (N=36) to investigate the objective performance and subjective perception between physical and virtual (AR) arms, as mediated by the physicality or virtuality of the robot's target referent (See Figure 1). This work helps robot designers to better understand whether and when to employ virtual rather than physical morphological components. Moreover, this work provides insights that are sensitive to the nuances of mixed-reality robotics environments.

## 2 RELATED WORK

### 2.1 Physical Robots vs. Virtual Agents on Screen

Much HRI research has already demonstrated differences in objective performance and subjective perception between purely virtual and purely physical robotic entities, demonstrating that embodied physical presence leads to greater influence [3], learning outcomes [43], task performance [51, 89], gaze following from infants [56], proximity [3], exercise [25], positive perception [52], social facilitation [5], forgiveness [5], enjoyableness [25, 68, 88], helpfulness [25, 69], and social attractiveness [25]. However, these works compared entirely physical and entirely virtual robot presence, without considering morphologies that blend the physical and the virtual, as enabled by AR technologies.

### 2.2 Virtual Agents in AR

While in VR, virtual agents wholly reside within the virtual world, AR allows virtual objects and agents to be projected onto a user's view of the real world [91]. A variety of research has examined

how interactants perceive agents in AR, and how space is perceived differently when the human user interacts with AR agents. Obaid et al. [63] showed that AR agents are perceived as physically distant by showing how participants adjust the volume of their speech, and Kim et al. [44] showed that AR agents that were aware of physical space were rated higher in terms of social presence.

Other researchers have examined how people perceive virtual humans (ostensibly) interacting with the physical world through AR. Lee et al. [48] studied AR-visualized humans subtly moving a physical table in terms of presence, co-presence, and attentional allocation, finding an increase in these measures. Schmidt et al. [79] experimented with virtual humans manipulating physical objects (e.g., hitting a physical ball with a virtual golf club), but did not find statistically significant differences in realism or emotional response. In contrast, our work considers a physical entity (a physical robot) with a virtual appendage, rather than a wholly virtual agent.

### 2.3 AR for Robot Communication

In this work, we are specifically interested in robots using AR appendages for communication. There has been a variety of work on the use of AR for human-robot communication within the broader area of VAM-HRI [90, 96]. Frank et al. [29] used AR to show reachable spatial regions in order to signal human users where and when to pass objects to robots. Taylor et al. [83] used AR to remove robot arm occlusion by making the arm transparent, and thus implicitly communicate the otherwise invisible context occluded by the arm. Diehl et al. [21] used AR to verify learned behavior in the robot learning domain to increase safety and trust. In addition to headset-based AR, researchers have also investigated projected AR. For example, Ganesan et al. [30] used projected AR to project car door frames and moving instructions in hopes of increasing task success in a car-assembly collaborative application. And Han et al use projector-based AR to communicate robotic intent [33–35].

### 2.4 Human and Robot Deictic Gesture

Finally, within this broader area, our work examines robots' use of AR visualizations for the purposes of deictic gestures. Deictic gesture has been a topic of sustained and intense study both in human-human interaction [49, 60] and human-robot interaction [77]. Deictic gesture is a key and natural communication modality, with humans starting to use deictic gesture around 9-12 months [7], and mastering it around age four [17]. Adults continue to use deixis to direct interlocutor attention, so as to establish joint and shared attention [54]. As a non-verbal modality, gesturing is especially helpful in public noisy environments such as factories, warehouses, or shopping malls when speech communication is not effective [14, 38].

Accordingly, roboticists have studied how deictic gestures can be applied to design more communicative robots, e.g., in tabletop environments [76] and free-form direction-giving scenarios [64]. Research shows that robots, like humans, can shift interlocutor attention [10] and can use a variety of deictic gestures, not limited to pointing [18, 77]. Williams et al. have begun to explore the use of deictic gesture within Augmented Reality [84, 93–95, 98], although most of this work has used non-anthropomorphic visualizations such as virtual arrows. In contrast, Hamilton et al. [32], like ourselves in this work, examine virtual arms, and show that AR virtual

arms exhibit enhanced social presence and likability relative to virtual arrows – but also that the benefits of these approaches could be combined to gain the “best of both worlds” [11]. Unlike Hamilton et al., however, we are interested in explicitly comparing virtual arms to physical arms (rather than other types of virtual gestures) and we hope to better understand how the physical or virtual nature of the environment might mediate these differences.

## 2.5 Subjective Perceptions

Finally, we must discuss the specific dimensions of robot social perception that are of interest to us in this work.

**2.5.1 Social Presence.** Social presence (the feeling of being in the company of another social actor [81]) has been a central metric in studies involving virtual agents, as it can enable more effective social and group interactions [8, 53]. Within HRI, social presence has also been found to increase enjoyment and desire to re-interact [40]. It is unclear whether virtual robot appendages would make a robot seem less socially present than a physical robot appendage given the physicality of the robot’s base. For similar reasons, it is unclear whether a robot’s interactions with virtual objects would decrease a sense of social presence when the human themselves is also interacting with those virtual objects.

**2.5.2 Anthropomorphism.** Anthropomorphism is one of the most widely researched constructs within the HRI literature, and remains an area of extensive research [72, 73]. Projecting human characteristics to non-human entities [22, 24, 26, 100], such as attaching the AR virtual arm to the TurtleBot 2 in this work, encourages humans to re-use familiar interaction patterns from human-human interactions. This facilitates sensemaking and mental model alignment [22], leading humans to be more willing to interact, accept, and understand robot behaviors [46]. Robots that use gestures have been found to appear more anthropomorphic [75], and Hamilton et al. [32] specifically found that a mechanomorphic robot with a virtual arm may be viewed as more anthropomorphic (cf. [11]). However it is unclear whether virtual arms would be perceived as more or less anthropomorphic than physical arms.

**2.5.3 Likability.** As one of the primary metrics used in nonverbal robot communication [75, 94, 97], Likability summarizes peoples’ overall perceptions of technology. Hamilton et al. [32] found evidence that virtual arms enhanced robot likability, but did not compare their approach with physical counterparts.

**2.5.4 Warmth and Competence.** As psychological constructs at the core of social judgment, warmth and competence are responsible for social perceptions among humans [27]. Warmth captures whether an actor is sociable and well-intentioned, and competence captures whether they can deliver on those intentions. Warmth and competence are thus key predictors of effective and preferable interactions, both for human-human interaction [27] and human-robot interaction [13, 78]. Moreover, they have been connected to social presence [39], and anthropomorphism [45, 92]. Because of these interrelations it is important to consider possible upstream or downstream effects on warmth and competence.

## 3 HYPOTHESES

Building on the body of related work described above, we thus formulate two key research questions:

**RQ1:** Can a *virtual* robotic arm perform tasks as accurately and as efficiently as a *physical* robot arm while offering users a similarly natural interaction?

**RQ2:** When there is a mismatch between the reality of the robot arm and the referent, will accuracy, efficiency, and subjective perception be affected?

Our work accordingly seeks to assess two sets of hypotheses.

### 3.1 Virtuality/Physicality of Robot Arms

First, we hypothesize that virtual robot arms in AR should not perform any worse than physical arms.

**Hypothesis 1 (H1) – Virtual arms are just as accurate and efficient as Physical arms, or more so.** We believe that robot deixis with a virtual arm will be no less accurate and efficient than deixis with a physical arm when identifying a referent. Efficiency will be measured by reaction time.

**Hypothesis 2 (H2) – Virtual arms are perceived just as positively as Physical arms, or more so.** Similarly, we believe that robot deixis with a virtual arm will be perceived equally or more positively (on dimensions like social presence, anthropomorphism, likability, warmth, and competence), than deixis with a physical arm when identifying a referent.

If these hypotheses hold, it will help to address potential concerns about the use of AR arms in mixed-reality environments, thus encouraging adoption of AR methods in future industrial contexts.

### 3.2 Reality Misalignment

Second, we hypothesize a mismatch in physicality/virtuality of Arm and the referent will have negative effects.

**Hypothesis 3 (H3) – Reality misalignment negatively impacts users’ objective ability to perform their tasks.** Physical or virtual arms, when referring to physical or virtual referents, *respectively*, should have equivalent accuracy and efficiency. However, we hypothesize that a mismatch between these levels of reality (i.e., virtual arms pointing to physical objects, and vice versa) could decrease accuracy and efficiency, due to the need for additional cognitive processing to explicitly overcome this misalignment.

**Hypothesis 4 (H4) – Reality misalignment negatively impacts users’ subjective perceptions of their robotic teammates.** Similarly, a mismatch between the reality of the arm and of the referent could negatively affect the user’s subjective experience in identifying the robot arm’s target.

If these hypotheses hold, it would provide guidance to robot developers and deployers of the types of contexts in which virtual gestures can and should be used.

## 4 METHOD

### 4.1 Apparatus

**4.1.1 Robot Platform.** Due to our interest in gesturally-limited robots, we used a TurtleBot 2 [65]. This differential wheeled robot is the second generation of the Turbot family, and is maintained by the current maintainer of Robot Operating System (ROS) [70], thus

having a large support community. The specification for TurtleBot compatible platforms can be found on [ros.org](https://www.ros.org) [99].

**4.1.2 Augmented Reality Head-Mounted Display (AR-HMD).** We use a Microsoft HoloLens 2 [57]: a commercial-grade see-through holographic mixed reality headset with a  $43^\circ \times 29^\circ$  Field of View.

**4.1.3 Physical/Virtual Robot Arm.** The physical arm we used was a WidowX Robot Arm [71]: a 5-DoF arm with a parallel gripper, which can reach up to 41cm horizontally and 44cm vertically. Our virtual arm was created using the CAD models and Unified Robot Description Format (URDF) model of this arm [85], as rendered in Unity. The virtual arm has the same distance to the TurtleBot top when rendered in Unity. To affix the AR virtual arm to the same position as the physical arm, we placed a trackable QR code marker on the second top panel of the TurtleBot 2.

**4.1.4 Physical/Virtual Referents.** Five spheres were used as communicative referents and arced within the field of view of HoloLens 2 (See Figure 1). Each sphere measures  $d = 15.24\text{cm}$  (6in) in diameter and was placed  $45^\circ$  apart. The distance between the robot and each referents is 0.5m (19.685in). The physical and rendered spheres had the same size and placement. To help perceive the location of virtual balls [20], shadows were added under them.

## 4.2 Gesturing Task and Implementation

The aforementioned materials were used in the context of a standard gesture-comprehension experiment. In each trial, the WidowX robot arm, mounted or simulated on top of a physical TurtleBot 2, randomly pointed to one of the colored spherical targets, which participants were then asked to identify by air-tapping on that target. This was repeated ten times, with targets chosen at random. While a controller could be used, the four directional buttons do not work well for five referents, and would introduce confounds for measuring response time.

For each gesture, the MoveIt motion planning framework [15] was used to move the robot's end effector to the desired pointing pose. As we conducted this experiment in person, the trajectory generated by MoveIt to move the robot arm to its final pose (which is traditionally non-deterministic due to the use of probabilistic algorithms [82]) was made deterministic by specifying a waypoint for which a deterministic trajectory could be guaranteed. This approach to a deterministic outcome has seen success in prior robot-to-human handover tasks and provides valuable experimental control in this new context [36].

For the AR virtual arm, we used ROS# [80] in Unity to receive the joint states to move the WidowX arm model rendered in Unity.

The MoveIt and Unity code is available on GitHub under the MIT licence to facilitate reproduction and replication: <https://github.com/umhan35/ar-vs-physical-arm>.

## 4.3 Experiment Design

This study followed a  $2 \times 2$  *within-subjects* design. The ordering effect was counterbalanced using a full Latin square.

As implied throughout this work so far, we manipulated whether the arm and the referents, i.e., the spheres, are physical or rendered.

Formally, two independent variables were manipulated: *Arm Physicality/Virtuality* and *Referent Physicality/Virtuality*. Thus, there were four study conditions across the two factors:

- (1) P→P: *Physical* arm pointing at *Physical* spheres
- (2) P→V: *Physical* arm pointing at *Virtual* spheres
- (3) V→P: *Virtual* arm pointing at *Physical* spheres
- (4) V→V: *Virtual* arm pointing at *Virtual* spheres

## 4.4 Procedure

After providing informed consent, participants completed a demographic survey and were randomly assigned to one of the four Latin Square orderings over the four experimental conditions. Participants watched three videos on how to wear HoloLens 2, run eye calibration, and use the air-tap gesture to confirm a target.

Then participants entered a sufficiently lighted experiment room to complete a practice round to get familiar with air-tapping. This practice allowed participants to walk through sample experiment trials to see how the robot arm moves to gesture, and practice air-tapping sphere targets. The practice round was also used to help mitigate novelty effects, as we expected that most participants would have no experience with a HoloLens 2 device. Experimenters asked clarifying questions to ensure participants' understanding of the task and the procedure during the practice round. During the experiment, participants stood 3m (9.84ft) away from the robot, so all spheres were in the field of view of the HoloLens 2.

Participants then began the experimental task. Each participant completed 10 trials in each condition. After completing each trial, participants were asked to answer a questionnaire containing our subjective measures. At the end of the experiment, participants were debriefed. It took 44.6 minutes on average to finish a study. This study design was approved by the human subjects research committee at Colorado School of Mines in USA.

## 4.5 Data Collection and Measures

To test our hypotheses, we collected two objective metrics and five subjective metrics, inspired in part by Hamilton et al. [32] and Brown et al. [11]. All experiment material, data, and analysis scripts are available at <https://osf.io/27wbp/>.

**4.5.1 Objective Measures.** Our objective metrics were collected using the air-tap and eye tracking [58] capabilities of the HoloLens 2. Participants were thus required to wear the HoloLens 2 in all conditions to ensure the same experiment settings, including when observing physical arms pointing to physical referents. Specifically, we used these two capabilities to collect two key objective measures. **Accuracy** was calculated as the percentage of true positives where participants "clicked" a target referent (by air-tap gesture). **Reaction time** was calculated as the duration between when the robot arm began moving from its home position to when participants looked at the target object. For conditions with physical balls, invisible balls were added in Unity at the location of the physical balls to use Unity's eye tracking capabilities.

**4.5.2 Subjective Measures.** The four key subjective measures discussed in Section 2 were collected using surveys administered after each experimental block. **Social Presence** was measured using the

Almere Social Presence scale [40]. **Anthropomorphism** was measured using the Godspeed Anthropomorphism scale [6]. **Likability** was measured using the Godspeed Likability scale [6]. **Warmth and Competence** were measured using the ROSAS Scale [13].

## 4.6 Data Analysis

We used the Bayesian analysis framework [87] to analyze our data, due to a number of benefits of Bayesian analysis over the more common Frequentist approach [87]. Most critical for us is the ability not simply to determine whether a null hypothesis can be rejected (as in the Frequentist approach), but rather to quantify evidence *for* and *against* competing hypotheses. That is, we are interested in the possibility of equivalence between certain conditions, and would want to collect evidence in favor of such an eventuality, and the Bayesian approach allows us to quantify evidence in favor of a lack of an effect ( $\mathcal{H}_0$ ) just as easily as it allows us to quantify evidence in favor of the existence of an effect ( $\mathcal{H}_1$ ), and provides (through Bayes Factor analysis) easily interpretable means of quantifying the relative strength of evidence (odds ratio) of one hypothesis relative to the other ( $BF_{10} = 1/BF_{01}$ ). Note as well that the  $p$  value cannot provide a measure of evidence in favor of  $\mathcal{H}_0$ .

Our Bayesian approach also informed our recruitment strategy. While in the frequentist approach a power analysis is needed, in part because one is not permitted to “peek” at their data before sampling has concluded to decide whether to stop early or to extend sampling beyond initial intent [4, 12], this is not the case for Bayesian analysis because Bayesian analysis is not grounded in the central limit theorem. As such, it does not require power analysis [19], and experimenters can use flexible sampling plans in which data is collected until firm claims can be made or resources are exhausted. For more details, we refer readers to [87].

Within this analysis framework, we used version 0.16.3 of the JASP statistical software [42] to perform Bayesian Repeated-Measures Analyses of Variance with Random Slopes [86] and Bayes Factor Analysis [74], in which Bayes Inclusion Factors across matched models were computed using Bayesian Model Averaging [41, 55]. When a main effect or interaction effect could not be ruled out (i.e., the Inclusion Bayes Factor  $BF_{10}$  in favor of including the main or interaction term was above 0.333, or in other words, the Exclusion Bayes Factor  $BF_{01}$  against inclusion of the main or interaction term was below 3.0), post-hoc Bayesian t-tests were used to examine pairwise comparisons between conditions. In this paper, we always report Bayes Factors in the direction of our evidence. That is, when evidence favors exclusion of an effect, we report the Exclusion Bayes Factor  $BF_{01}$  (e.g., 3.5) rather than the equivalent Inclusion Bayes Factor  $BF_{10}$  (e.g., 0.286) for ease of readability.

## 4.7 Participants

45 participants were recruited at Colorado School of Mines in USA. Data from nine participants was excluded. One could not finish due to difficulty performing the air tap gesture. There was a networking problem for four participants, and the other four participants accidentally repeated conditions. Of the remaining 36 participants, 24 identified as male and 12 identified as female. Ages ranged from 18 to 40 ( $M=23.0$ ,  $SD=5.19$ ). 18 (50%) reported experience with robots,

6 (16.7%) were neutral, and 12 disagreed. 12 (33.3%) reported experience with augmented reality, 6 were neutral, and 18 (50%) disagreed. Each was given a US \$15 Amazon gift card for participation.

## 5 RESULTS

We will now discuss the results for each of our measures. These results are summarized in Table 1.

### 5.1 Objective Measures

**5.1.1 Accuracy.** A two-way repeated measures Analysis of Variance (RM-ANOVA) [86] was used to assess the effect of Arm and Referent Physicality/Virtuality on accuracy. This analysis revealed moderate evidence against an effect of Arm Physicality/Virtuality ( $BF_{01} = 4.011$ ) (that is, our data was approximately 4 times more likely under models *excluding* an effect of Arm Physicality/Virtuality ( $\mathcal{H}_0$ ) than under models *including* such an effect ( $\mathcal{H}_1$ )). This analysis also revealed moderate evidence against an effect of Referent Physicality/Virtuality ( $BF_{01} = 3.278$ ). Finally, this analysis revealed anecdotal evidence against an interaction between Arm Physicality/Virtuality and Referent Physicality/Virtuality ( $BF_{01} = 1.261$ ).

Because an interaction effect could not be ruled out, post-hoc Bayesian t-tests were used to perform pairwise comparisons between conditions. However, this analysis revealed anecdotal to moderate evidence against all pairwise differences ( $BF_{01} \in [2.152, 4.795]$ ).

**5.1.2 Efficiency.** An RM-ANOVA revealed moderate against an effect of Arm Physicality/Virtuality ( $BF_{01} = 4.519$ ). This analysis also revealed moderate evidence against an effect of Referent Physicality/Virtuality ( $BF_{01} = 3.156$ ). Finally, this analysis revealed anecdotal evidence against an interaction between Arm Physicality/Virtuality and Referent Physicality/Virtuality ( $BF_{01} = 1.650$ ).

Because an interaction effect could not be ruled out, post-hoc Bayesian t-tests were used to perform pairwise comparisons between conditions. However, this analysis revealed anecdotal to moderate evidence against all pairwise differences ( $BF_{01} \in [1.530, 5.470]$ ).

### 5.2 Subjective Measures

**5.2.1 Social presence.** Before analyzing social presence, we conducted a Bayesian reliability analysis [66, 67] of our Almere social presence scale data. For McDonald’s  $\omega$ , the posterior mean equaled 0.794 with 95% CI=[0.738, 0.845]. For Cronbach’s  $\alpha$ , the posterior mean equaled 0.798 with 95% CI=[0.743, 0.847]<sup>1</sup>. We thus calculated an unweighted composite score for each participant.

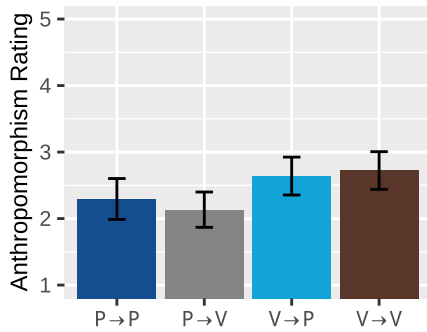
Mean social presence ratings were relatively low, with condition means ranging from 2.1 to 2.3. An RM-ANOVA revealed anecdotal against an effect of Arm Physicality/Virtuality ( $BF_{01} = 2.017$ ), suggesting there probably is no such effect, but if there was, it would be that the Virtual Arm conveyed more social presence ( $M=2.228$ ,  $SD=0.867$ ) than the Physical Arm ( $M=2.122$ ,  $SD=0.800$ ). This analysis also revealed anecdotal evidence against an effect of Referent Physicality/Virtuality ( $BF_{01} = 2.845$ ), suggesting again there probably is no such effect, but if there was, it would be that the Virtual Referent conveyed more social presence ( $M=2.208$ ,  $SD=0.803$ ) than the Physical Referent ( $M=2.142$ ,  $SD=0.866$ ). More data would need to be collected to fully rule out such effects. Finally, this analysis revealed

<sup>1</sup>Nunnally [62]’s widely-adopted recommended level is near 0.8.

**Table 1: Means and Standard Deviations (SD) for all measures**

Measure	Arm Physicality/Virtuality		Referent Physicality/Virtuality		Arm/Referent Physicality/Virtuality			
	Physical Arm	Virtual Arm	Physical Referent	Virtual Referent	P→P	P→V	V→P	V→V
Accuracy (%)	0.979±0.059	0.983±0.050	0.981±0.055	0.982±0.055	0.983±0.045	0.974±0.070	0.978±0.064	0.989±0.032
Reaction Time (s)	4.927±1.095	4.878±1.051	4.973±1.097	4.832±1.043	4.906±1.068	4.949±1.135	5.041±1.136	4.715±0.945
Social Presence*	2.122±0.800	2.228±0.867	2.142±0.866	2.208±0.803	2.094±0.840	2.150±0.768	2.189±0.901	2.267±0.843
Anthropomorph.*	2.214±0.847	2.681±0.835	2.467±0.877	2.428±0.859	2.294±0.909	2.133±0.784	2.639±0.842	2.722±0.838
Likability*	3.414±0.657	3.575±0.757	3.469±0.694	3.519±0.731	3.417±0.651	3.411±0.673	3.522±0.740	3.628±0.780
Warmth*	2.280±0.660	2.477±0.742	2.340±0.705	2.417±0.712	2.264±0.688	2.296±0.640	2.417±0.722	2.537±0.766
Competence*	3.656±0.805	3.750±0.946	3.639±0.895	3.767±0.859	3.574±0.795	3.639±0.827	3.604±0.995	3.896±0.883

\* Subjective measures were rated on a 5-item Likert scale.



**Figure 2: Mean anthropomorphism ratings. Error bars show 95% credible interval. Results shows Arm Virtuality/Physicality has an effect on anthropomorphism but not Referent Virtuality/Physicality.**

moderate evidence against an interaction between Arm Physicality/Virtuality and Referent Physicality/Virtuality ( $BF_{01} = 4.257$ ).

**5.2.2 Anthropomorphism.** Bayesian reliability analysis of the 5-item Godspeed [6] Anthropomorphism scale yielded  $\omega = 0.799$  (95% CI=[0.747, 0.851]),  $\alpha = 0.802$  (95% CI=[0.749, 0.855]).

As shown in Figure 2, mean anthropomorphism ratings were relatively low, with condition means ranging from 2.1 to 2.7. An RM-ANOVA revealed strong evidence for an effect of Arm Physicality/Virtuality ( $BF_{10} = 17.679$ ), suggesting that the Virtual Arm was perceived as more anthropomorphic ( $M=2.681$ ,  $SD=0.835$ ) than was the Physical Arm ( $M=2.214$ ,  $SD=0.847$ ). This analysis also revealed moderate evidence against an effect of Referent Physicality/Virtuality ( $BF_{01} = 4.0816$ ). Finally, this analysis revealed anecdotal evidence for an interaction between Arm Physicality/Virtuality and Referent Physicality/Virtuality ( $BF_{10} = 1.277$ ).

Because an interaction effect could not be ruled out, post-hoc Bayesian t-tests were used for pairwise comparisons between conditions. These post-hoc t-tests revealed that while Virtual Arms were viewed as equally anthropomorphic ( $BF_{01} = 3.521$ ) when gesturing towards Physical Referents ( $M=2.639$ ,  $SD=0.832$ ) and Virtual Referents ( $M=2.722$ ,  $SD=0.838$ ), Physical Arms may have been

perceived as less anthropomorphic ( $BF_{10} = 1.532$ ) when gesturing towards Physical Referents ( $M=2.294$ ,  $SD=0.909$ ) than when gesturing towards Virtual Referents ( $M=2.133$ ,  $SD=0.784$ ). That is, the combination of a Physical Arm gesturing towards a Physical Referent may have been uniquely non-anthropomorphic, but more data would be needed to confirm this difference.

**5.2.3 Likability.** Bayesian reliability analysis of the 5-item Godspeed [6] Likability scale yielded  $\omega = 0.864$  (95% CI=[0.831, 0.900]),  $\alpha = 0.870$  (95% CI=[0.838, 0.903]).

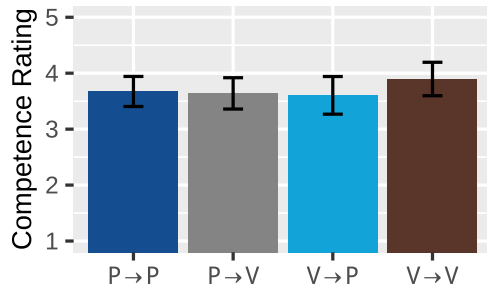
Mean likability ratings were relatively high, with condition means ranging from 3.4 to 3.6. An RM-ANOVA revealed anecdotal evidence against an effect of Arm Physicality/Virtuality ( $BF_{01} = 1.503$ ), suggesting there probably is no such effect, but if there was, it would be that the Virtual Arm was viewed as more likable ( $M=3.575$ ,  $SD=0.757$ ) than the Physical Arm ( $M=3.414$ ,  $SD=0.657$ ). More data would be needed to rule out such an effect. This analysis also revealed moderate evidence against an effect of Referent Physicality/Virtuality ( $BF_{01} = 3.604$ ). Finally, this analysis revealed anecdotal evidence against an interaction effect between Arm Physicality/Virtuality and Referent Physicality/Virtuality ( $BF_{01} = 2.280$ ).

Because an interaction effect could not be ruled out, post-hoc Bayesian t-tests were used to perform pairwise comparisons between conditions. However, this analysis revealed anecdotal to moderate evidence against all pairwise differences ( $BF_{01} \in [1.945, 5.574]$ ).

**5.2.4 Warmth.** Bayesian reliability analysis of the 6-item ROSAS [13] Warmth scale yielded  $\omega = 0.807$  (95% CI=[0.757, 0.850]),  $\alpha = 0.799$  (95% CI=[0.749, 0.847]).

Mean warmth ratings were relatively low, with condition means ranging from 2.4 to 2.6. An RM-ANOVA revealed anecdotal evidence against an effect of Arm Physicality/Virtuality ( $BF_{01} = 1.145$ ), suggesting there probably is no such effect, but if there was, it would be that the Virtual Arm was viewed as more Warm ( $M=2.477$ ,  $SD=0.742$ ) than the Physical Arm ( $M=2.280$ ,  $SD=0.660$ ). More data would be needed to rule out such an effect. This analysis also revealed moderate evidence against an effect of Referent Physicality/Virtuality ( $BF_{01} = 3.105$ ). Finally, this analysis also revealed moderate evidence against an interaction between Arm Physicality/Virtuality and Referent Physicality/Virtuality ( $BF_{01} = 3.328$ ).





**Figure 3: Mean competence ratings. Error bars show 95% credible interval. Arm had no effect on competence. For Referent Virtuality, there is no difference when a physical arm points (P→P/P→V). Additionally, there is no difference between different reality misalignments (P→V/V→P).**

**5.2.5 Competence.** Bayesian reliability analysis of the 4-item ROSAS [13] Competence scale yielded  $\omega = 0.824$  (95% CI=[0.775, 0.867]),  $\alpha = 0.827$  (95% CI=[0.781, 0.870]).

As shown in Figure 3, mean competence ratings were relatively high, with condition means ranging from 3.6-3.9. An RM-ANOVA revealed moderate evidence against an effect of Arm Physicality/Virtuality ( $BF_{01} = 3.086$ ). This also revealed anecdotal evidence against an effect of Referent Physicality/Virtuality ( $BF_{01} = 2.315$ ), suggesting there probably is no such effect, but if there was, it would be that the robot was perceived as more competent when gesturing towards Virtual Referents ( $M=3.767$ ,  $SD=0.859$ ) than when gesturing towards Physical Referents ( $M=3.639$ ,  $SD=0.895$ ). Finally, this revealed anecdotal evidence for an interaction between Arm Physicality/Virtuality and Referent Physicality/Virtuality ( $BF_{10} = 1.596$ ).

Because an interaction effect could not be ruled out, post-hoc Bayesian t-tests were used to perform pairwise comparisons between conditions. These post-hoc t-tests revealed that while Physical Arms were viewed as equally competent ( $BF_{01} = 5.423$ ) when gesturing towards Physical Referents ( $M=3.574$ ,  $SD=0.795$ ) and Virtual Referents ( $M=3.639$ ,  $SD=0.827$ ), Virtual Arms may have been perceived as less competent ( $BF_{10} = 2.976$ ) when gesturing towards Physical Referents ( $M=3.604$ ,  $SD=0.995$ ) than when gesturing towards Virtual Referents ( $M=3.896$ ,  $SD=0.883$ ). That is, the combination of a Virtual Arm gesturing towards a Virtual Referent may have been perceived as uniquely competent, but more data would be needed to confirm this difference.

## 6 DISCUSSION

### 6.1 Hypothesis One

Our first hypothesis was that Virtual arms would be just as accurate and efficient as Physical arms, or more so. Our results support this hypothesis. Overall, regardless of whether a physical or virtual arm was used, participants were highly accurate and equally efficient. This should provide assurance for robot designers concerned about accuracy and efficiency of augmented reality arms. That is, in contexts where robots' arms are only used for the purposes of deictic gesturing, it may be more cost effective for augmented reality visualizations to be used than physical arms, if a robot's deployment context already requires the use of a Mixed Reality headset.

One potential caveat, however, is the possibility that our results were due to ceiling effects. When designing this task, we were concerned that participants may have found it challenging due to lack of prior experience with Augmented Reality. And in fact, only 12 (33.3%) of our participants reported prior experience with AR. But despite this lack of prior experience, participants achieved over 97% accuracy in all conditions. It is possible that differences between conditions could have been more readily apparent in a more challenging task involving more objects, objects that are closer together, or objects for which the human participant would need to turn their head to follow the robot's deixis. For future work, we suggest examining AR and physical gestures in more collaborative tasks like identifying targets during assembly tasks.

### 6.2 Hypothesis Two

Our second hypothesis was that Virtual arms would be perceived just as positively as Physical arms, or more so. Our results support this hypothesis. Specifically, our results suggest that Virtual arms were perceived just as (or more) positively in terms of each of our five key metrics. That is, when the robot had a Virtual arm, participants viewed it as just as competent, as *or more* likable, socially present, warm, and distinctly more anthropomorphic.

We believe these effects are interrelated, and likely stem from the perceived differences in anthropomorphism. In this work, the robot in all conditions had relatively low levels of anthropomorphism (See Figure 2), but these levels were more moderate when the Virtual arm was used. There are several possible antecedents of this effect. First, the mechanical nature of the robot was less obvious when the virtual arm was used, both due to the lack of a physical mechanism in real-space and due to the lack of sounds from the robot's motors. In other words, the Physical robot may have suffered penalties to anthropomorphism due to the underlying truth to its mechanical nature. Second, it is possible that the animation of the Virtual arm appeared more fluid; or any motion disfluidities were less apparent.

Previous work [61] has shown that very low and very high levels of anthropomorphism are negatively correlated with social presence, but that moderate levels of anthropomorphism are positively correlated with social presence. This could explain why the Virtual Arm induced more social presence. Previous work [40, 72, 75] has similarly shown that Anthropomorphism and Social Presence lead to greater likability. It is also reasonable to expect that middling levels of Anthropomorphism may lead to greater perceived Warmth, just as they lead to greater perceived Social Presence. Finally, while there is evidence that Anthropomorphism plays a key role in mediating competence-based trust [16], it is unsurprising in our particular task that both robots were perceived as overall competent in their task, due to their shared abilities and limitations.

Synthesizing these trends, we believe (1) the non-mechanical nature of the Virtual arms circumvented certain penalties to anthropomorphism that would have otherwise occurred; (2) the resulting middling level of anthropomorphism for these Virtual Arms led to increased Social Presence and Warmth; (3) increased Social Presence and Warmth led to downstream effects on Likability.

Future work should confirm both our hypothesized explanations (e.g., relating to the auditory and visual components of the robot's

behavior) and the hypothesized down-stream chain of effects stemming from differences in Anthropomorphism, some links of which are backed by specific work in the HRI community, some by work beyond the community, and some by hypothesis and intuition.

### 6.3 Hypothesis Three

Our third hypothesis was that reality misalignment (when a Physical Arm was used to gesture to a Virtual Referent, or a Virtual Arm was used to gesture to a Physical Referent) would negatively impact users' objective ability to perform their tasks. Our results did not support this hypothesis, with no interaction effects found between Arm Virtuality/Physicality and Referent Virtuality/Physicality for either of our objective measures. Despite our expectations, these results should thus provide assurance for robot designers considering using augmented reality visualizations to pick out physical objects, but also for those considering using physical arms to pick out virtual objects in mixed-reality tasks.

However, the same caveat applies here as for Hypothesis One. That is, since performance was uniformly good across the board, especially for Accuracy, it is possible our observations are due to ceiling effects, and that a more challenging task could have revealed differences. This represents an open direction for future work.

### 6.4 Hypothesis Four

Our fourth hypothesis was that reality misalignment would negatively impact users' subjective perceptions of their robotic teammates. While this hypothesis was not supported by our analysis, our analysis to assess this hypothesis revealed two intriguing effects.

**6.4.1 Physicality Subverts Anthropomorphism.** First, echoing the results found in service of H2, we observed that Physical Arms gesturing towards Physical objects were perceived as uniquely non-anthropomorphic. While one might think that the nature of the robot's environment would have little effect on perceptions of the robot itself, we believe that the concrete, grounded nature of Physical referents reinforced participants' perceptions of the Physical arm's physical embodiment and situated nature. As such, we interpret participants' perceptions of the robot's non-anthropomorphism in this case as perhaps not truly about a lack of anthropomorphism, so much as a gain in explicit mechanomorphism, or a sense of physical embodiment and groundedness.

If this is the case, it suggests new ways of measuring robot embodiment are needed, that de-emphasize a linear non-anthropomorphic to anthropomorphic spectrum, and instead emphasize either (a) placement within a multidimensional landscape of embodiment, or (b) the feeling of belonging-to-the-world, or of *perceived materiality*.

**6.4.2 Virtuality Begets Competence within Virtuality.** The second interesting finding arising from our analysis in service of this hypothesis was the observation that Virtual Arms *may* have been perceived as more competent in operating within the Virtual World, although the strength of our evidence falls just short of the threshold we would typically use to make such a claim with confidence. Future work could explore robotic performance of a variety of mixed-reality tasks that exhibit more range in terms of their analogy to real-world tasks. We suspect for example, that robots with virtual components may be perceived as especially capable and

competent when performing tasks that are *inherently* virtual, such as creating, deleting, or adapting the properties of virtual objects, in ways that are simply not possible to perform for physical objects.

It is also possible that such changes in task context could also lead to differences in some of our other subjective metrics. A more social task context, for example, could have led to greater differences in perceived social presence. Moreover, this line of analysis raises interesting questions about the nature of social presence when two parallel worlds overlap and interact. Perhaps, for example, a robot may be perceived as having different degrees of social presence *with respect* to each of the physical and virtual worlds.

### 6.5 General Limitations

A final limitation that warrants discussion is our participant pool. Our participants were recruited from a uniquely pure-engineering university whose participants may have been predisposed to favor interaction modalities that highlighted mixed reality dimensions of interaction. Across higher education, but especially at Engineering schools, attendees not only have outsized experience with robotic and AR technologies, but moreover are systematically biased towards technological solutions to social problems [50]. As such, it is reasonable to suspect that our participant sample may have been predisposed to look favorably on technological configurations that seemed to leverage the widest swathe of the very technologies their educational context had conditioned them to value.

## 7 CONCLUSION

In this paper, we investigated the differences in performance between robots that gesture using either Physical or Virtual arms, as mediated by the physical or virtual nature of their gestural referents. Our results provide support for the utility of cost-saving AR technologies for human-robot communication, with no downsides observed to the use of Augmented Reality as a medium for nonverbal communication. Moreover, our results demonstrate that there is limited need for developers to worry about reality misalignment effects, especially when they are using Virtual arms. This further demonstrates the potential use of virtual robotic appendages even when interacting with the physical world. Finally, our results highlight key opportunities for future HRI research to pursue more nuanced study of both mixed-reality HRI, as well as foundational topics like Anthropomorphism whose importance extends beyond Mixed Reality domains but whose nuances are amplified therein.

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